

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	67010-095; B05799-AT1
First Inventor	Rozman, Gregory I.
Title	Power Converter for an Electric Engine
Express Mail Label No.	EV 221419544 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 13)
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 1)
5. Oath or Declaration (Total Sheets 5)
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Certificate of Express Mail.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 026096 OR ☐ Correspondence address below

Name	David J. Gaskey		
Address	Carlson Gaskey & Olds, P.C.		
	400 West Maple Road, Suite 350		
City	Birmingham	State	MI
Country	United States of America	Telephone	248-988-8360
		Zip Code	48009
		Fax	248-988-8363

Name (Print/Type)	David J. Gaskey	Registration No. (Attorney/Agent)	37,139
Signature		Date	3/23/2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

032304

16711 U.S. PTO

PTO/SB/17 (10-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 810.00

Complete if Known

Application Number	Herewith
Filing Date	Herewith
First Named Inventor	Rozman, Gregory I.
Examiner Name	
Art Unit	
Attorney Docket No.	67,010-095; B05799-AT1

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 50-1482

Deposit Account Name: Carlson, Gaskey & Olds

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES					
Large Entity			Small Entity		
Fee Code	Fee (\$)		Fee Code	Fee (\$)	Fee Description
1051	130		2051	65	Surcharge - late filing fee or oath
1052	50		2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130		1053	130	Non-English specification
1812	2,520		1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*		1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*		1805	1,840*	Requesting publication of SIR after Examiner action
1251	110		2251	55	Extension for reply within first month
1252	420		2252	210	Extension for reply within second month
1253	950		2253	475	Extension for reply within third month
1254	1,480		2254	740	Extension for reply within fourth month
1255	2,010		2255	1,005	Extension for reply within fifth month
1401	330		2401	165	Notice of Appeal
1402	330		2402	165	Filing a brief in support of an appeal
1403	290		2403	145	Request for oral hearing
1451	1,510		1451	1,510	Petition to institute a public use proceeding
1452	110		2452	55	Petition to revive - unavoidable
1453	1,330		2453	665	Petition to revive - unintentional
1501	1,330		2501	665	Utility issue fee (or reissue)
1502	480		2502	240	Design issue fee
1503	640		2503	320	Plant issue fee
1460	130		1460	130	Petitions to the Commissioner
1807	50		1807	50	Processing fee under 37 CFR 1.17(q)
1806	180		1806	180	Submission of Information Disclosure Stmt
8021	40		8021	40	Recording each patent assignment per property (times number of properties)
1809	770		2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1810	770		2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1801	770		2801	385	Request for Continued Examination (RCE)
1802	900		1802	900	Request for expedited examination of a design application

FEE CALCULATION

1. BASIC FILING FEE			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 770.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below

Total Claims: 20 - 20** = 0 X 0.00 = 0.00

Independent Claims: 3 - 3** = 0 X 0.00 = 0.00

Multiple Dependent: 0 - 0** = 0 X 0.00 = 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$) 0.00

**or number previously paid, if greater; For Reissues, see above

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	David J. Gaskey	Registration No. (Attorney/Agent)	37,139
Signature		Telephone	248 988 8360
		Date	3/23/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Rozman, et al.
Serial No. Herewith
Filed: Herewith
For: POWER CONVERTER FOR AN ELECTRIC
ENGINE START SYSTEM

EXPRESS MAIL CERTIFICATE

“Express Mail” Label Number: EV 221419544 US

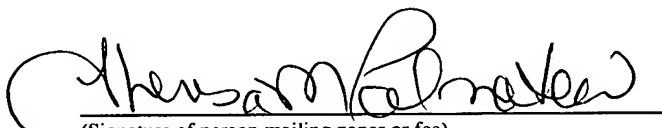
EV221419544US

Date of Deposit: March 23, 2004

I hereby certify that the attached documents or fees are being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and are addressed to “Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.”

Theresa M. Palmateer

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)